

ICCVAM Recommendations for the Routine Use of Topical Anesthetics, Systemic Analgesics, and Humane Endpoints to Refine Ocular Toxicity Testing

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Abstract¹

Eye injury is a leading cause of visual impairment in the U.S., with up to 50,000 new cases reported each year. To evaluate the potential of chemicals to cause eye irritation, the protocol most widely accepted by regulatory agencies is based on the Draize rabbit eye test method. Since current ocular test guidelines state that users must ensure that the topical anesthetic does not affect test results, pain medications are often not used. However, for over 25 years CPSC has recommended pre-application of a topical anesthetic for all rabbit eye toxicity studies. Therefore, ICCVAM recently conducted a comprehensive evaluation of the usefulness and limitations of routinely using topical anesthetics, systemic analgesics, and earlier humane endpoints to avoid or minimize pain and distress in ocular safety testing. Following this evaluation, which included recommendations from an international independent peer review panel, ICCVAM concluded that a balanced preemptive pain management plan should always be used when the Draize rabbit eye test is conducted for regulatory safety testing. This protocol should include pre-treatment with a topical anesthetic and systemic analgesic, and routine post-treatment with systemic analgesia. ICCVAM also recommends several additional humane endpoints that should be used to end studies earlier. To ensure timely and accurate detection of humane endpoints in ocular studies, ICCVAM recommends examination with a slit-lamp biomicroscope, when considered appropriate, to characterize the nature, severity, and progression of any corneal lesions. ICCVAM also recommends routine observations for clinical signs of pain and distress at least twice daily, or more often if needed. Implementation of these ICCVAM recommendations should avoid or significantly reduce pain and distress associated with ocular safety assessments while continuing to support the protection of human health.

¹The abstract has been modified slightly from the version submitted.

Introduction

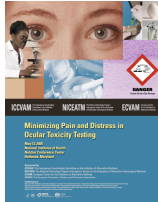
- The Interagency Coordinating Committee on the Validation of Alternative Methods (ICCVAM) was charged by the ICCVAM Authorization Act of 2000 with evaluating the scientific validity of new, revised, and alternative toxicological test methods applicable to U.S. Federal agency safety testing requirements.
- ICCVAM recently evaluated the routine use of topical anesthetics, systemic analgesics, and earlier humane endpoints to avoid or minimize pain and distress during *in vivo* ocular irritation testing.
- Current U.S. and international test guidelines for the Draize rabbit eye test provide for the use of topical anesthetics only when the user demonstrates that such pretreatments do not interfere with the test results (EPA 1998; OECD 2002).
 - However, for over 25 years the U.S. Consumer Product Safety Commission (CPSC) has recommended preapplication of tetracaine ophthalmic anesthetic for all rabbit eye toxicity studies (CPSC 1984).
- The following ocular lesions are considered predictive of severe irritant or corrosive injuries and injuries that are not expected to fully reverse by the end of the 21-day observation period after treatment, and can be used as humane endpoints to terminate a study (OECD 2002):
 - Draize corneal opacity score of 4 that persists for 48 hours
 - Corneal opacity score of 4 is defined as: Opaque cornea, iris not discernible through the opacity
 - Corneal perforation or significant corneal ulceration including staphylocoma
 - Blood in the anterior chamber of the eye
 - Absence of light reflex that persists for 72 hours
 - Absent light reflex corresponds to iris severity score of 2
 - Ulceration of the conjunctival membrane
 - Necrosis of the conjunctiva or sclerating membrane
 - Sclerolysis (separation of necrotic tissue from the living tissue)
- A recent report of the National Research Council Committee on Recognition and Alleviation of Pain in Laboratory Animals emphasized the need for increased efforts to identify appropriate humane endpoints (NRC 2009).
- ICCVAM, the ICCVAM Ocular Toxicity Working Group (OTWG), and the National Toxicology Program Interagency Center for the Evaluation of Alternative Toxicological Methods (NICEATM) prepared a draft background review document (BRD) summarizing available information and data from published and unpublished studies on the use of topical anesthetics, systemic analgesics, and earlier humane endpoints in ocular toxicity testing. Draft recommendations were developed based on this information for consideration by an independent international peer review panel (see Peer Review Panel Meeting).
- ICCVAM considered the report of the peer review panel, along with comments from the Scientific Advisory Committee on Alternative Toxicological Methods (SACATM) and the public. ICCVAM then developed final recommendations on the routine use of topical anesthetics, systemic analgesics, and humane endpoints for ocular safety testing.
 - An ICCVAM Test Method Evaluation Report (TMER) includes the updated ICCVAM-recommended test method protocols, the final BRD, and recommendations for future studies (ICCVAM 2010).

ICCVAM Ocular Toxicity Working Group

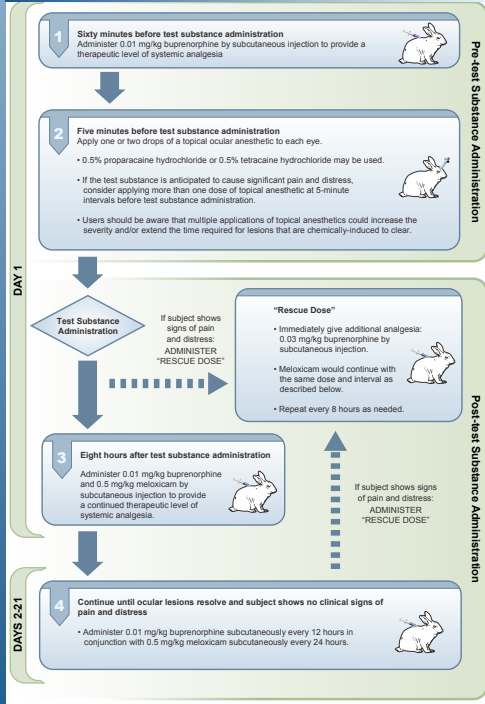
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Routine Use of Topical Anesthetics and Systemic Analgesics: Background

- Since 1984, the CPSC has recommended preapplication of tetracaine ophthalmic anesthetic in all rabbit eye toxicity studies (CPSC 1984).
- In 2005, an international symposium entitled “Minimizing Pain and Distress in Ocular Toxicity Testing” evaluated the use of topical ophthalmic anesthetics and/or systemic analgesics during the conduct of the Draize rabbit eye irritation test.
 - ICCVAM, NICEATM, and the European Centre for the Validation of Alternative Methods (ECVAM) organized the symposium.
 - Scientific Experts at the workshop recommended:
 - Routine pretreatment with topical anesthetics and systemic analgesics to prevent pain
 - Treatment with systemic analgesics of animals with ocular lesions associated with painful conditions and/or clinical signs of pain or distress
- NICEATM evaluated the effects of pretreatment with tetracaine hydrochloride (0.5% w/v) on the ocular irritancy potential of 97 formulations (Chokoi et al. 2007).
- Topical anesthetic pretreatment had little or no impact on:
 - The hazard classification severity category
 - The nature of the ocular irritation responses
 - The number of days for ocular lesions to clear
- A recently convened independent international scientific peer review panel recommended the routine use of topical anesthetics and systemic analgesics to prevent and minimize pain and distress during *in vivo* ocular irritation testing.
- A well-tested approach to balanced analgesia is to use an opioid (e.g., buprenorphine) in combination with a cyclooxygenase-sparing nonsteroidal anti-inflammatory drug such as meloxicam (Roughan and Flecknell 2002; Sawyer 2008; Cooper et al. 2009).
 - Buprenorphine is an opioid agonist-antagonist analgesic that has been found to be effective in managing pain in rabbits and other small animals (Roughan and Flecknell 2002; Sawyer 2008)
 - Meloxicam has been used for postoperative or chronic pain in humans (Akatsu et al. 2004; Aoki et al. 2006) and dogs for over 10 years. Its effectiveness has been demonstrated in rabbits (Sawyer 2008; Cooper et al. 2009).



ICCVAM-Recommended Pain Management Procedures for In Vivo Ocular Safety Testing



ICCVAM Recommendations for Routine Use of Topical Anesthetics and Systemic Analgesics

- ICCVAM recommends that balanced preemptive pain management should always be provided when the Draize rabbit eye test is conducted for regulatory safety testing.
- Pain management should include:
 - Pretreatment with a topical anesthetic and systemic analgesic prior to test substance administration (TSA)
 - Routine post-treatment with systemic analgesics, with additional treatments as necessary
 - Scheduled observation, monitoring, and recording of animals for clinical signs of pain and/or distress
 - Scheduled observation, monitoring, and recording of the nature, severity, and progression of all eye injuries
- Alternative pain management procedures may also be considered that provide as good or better analgesia and anesthesia than the recommended pain management plan.

ICCVAM Recommendations for Future Studies: Use of Topical Anesthetics and Systemic Analgesics

- ICCVAM recommends the following studies and activities to support the development of improved pain management strategies, recognizing that some involve research that would be conducted independent of regulatory safety testing.
 - New animal studies should only be considered when absolutely necessary in developing new pain management strategies for testing.
 - Detailed ocular injury and pain response data should be collected from animals used for required regulatory testing, and evaluated to assess the adequacy of the recommended pain management procedures. This data will help identify the need for modifications to dosages and dosing intervals for anesthetics and/or analgesics.
 - Where possible, eyes should be collected for histopathology to more thoroughly evaluate depth and area of ocular damage, as well as to provide a reference against which to compare effects produced *in vitro*.
 - Digital photographs of observed lesions should be collected for reference and to provide a permanent record of the extent of ocular damage.
 - Studies should be conducted to determine whether the timing and dosing of systemic analgesics together with topical anesthetics might alter the ocular defense sufficient to change the classification of test substances.
 - Studies should be conducted to investigate topical anesthetics that might provide longer duration of action or other advantages.
 - Studies should be conducted to evaluate systemic analgesics that might provide longer duration of action, improved analgesia, or other advantages.
 - ICCVAM encourages users to provide data generated using the recommended pain management procedures to NICEATM to create a database that can be periodically evaluated to further characterize the usefulness and limitations of such procedures for avoiding or minimizing pain and distress in ocular safety assessments.

Use of Humane Endpoints in Ocular Safety Testing: Background

- U.S. Public Health Service Policy and U.S. Department of Agriculture regulations on pain and distress in laboratory animals state that more than momentary or slight pain and distress:
 - Must be limited to that which is unavoidable for the conduct of scientifically valuable research or testing.
 - Must be conducted with appropriate pain relief medication unless justified in writing by the principal investigator, and
 - Will continue for only the necessary amount of time.
- Animals suffering severe or chronic pain or distress that cannot be relieved should be humanely terminated after or, if appropriate, during the process of testing.
- During the 2005 symposium “Minimizing Pain and Distress in Ocular Toxicity Testing,” panelists recommended adverse responses that could serve as early humane endpoints to terminate animals on study:
 - Endpoints currently accepted for study termination (OECD 2002)
 - Vascularization of the corneal surface (i.e., pannus)
 - Destruction of more than 75% of the limbus
 - No diminishment in area of fluorescein staining and/or increase in depth of injury over time
 - Lack of re-epithelialization 5 days after application of the test substance
 - Depth of injury to the cornea (routinely using slit-lamp and fluorescein staining) in which corneal ulceration extends beyond superficial layers of the stroma

ICCVAM Recommendations on the Routine Use of Humane Endpoints in Ocular Safety Testing

- Consistent with the Peer Review Panel, ICCVAM recommends that the following ocular lesions can be used as earlier humane endpoints to terminate studies before the end of the scheduled 21-day observation period. These lesions are considered predictive of severe irritant or corrosive injuries, and injuries that are not expected to fully reverse by the end of the 21-day observation period after treatment.
 - Endpoints currently accepted for study termination (OECD 2002; see Introduction)
 - Severe depth of injury (e.g., corneal ulceration extending beyond the superficial layers).
 - Destruction of more than 50% of the limbus, as evidenced by blanching of the conjunctival tissue.
 - Severe eye infection (purulent discharge)
 - A combination of the following endpoints may be useful in clinical decisions on study termination. However, these endpoints cannot be used individually to justify early study termination:
 - Vascularization of the corneal surface (i.e., pannus)
 - Area of fluorescein staining not diminishing over time based on daily assessment
 - Lack of re-epithelialization five days after test substance application
- ICCVAM emphasizes that, once severe effects have been identified, a qualified laboratory animal veterinarian should perform a clinical exam to determine if the combination of these effects warrants early study termination.

ICCVAM Recommendations on Changes to Ocular Safety Testing Protocols

- Ocular safety assessment studies should be conducted using the ICCVAM-recommended modifications to the current Draize rabbit eye test protocol for regulatory safety assessments of potential ocular hazards (EPA 1998; OECD 2002).
 - Comprehensive evaluations for the presence or absence of ocular lesions should be conducted one hour after test substance administration, followed by at least daily evaluations.
 - Animals should be evaluated once daily for the first three days, or more often if necessary, to ensure that termination decisions are made in a timely manner.
 - Test animals should be routinely evaluated for clinical signs of pain and/or distress at least twice daily with a minimum of six hours between observations, or more often if necessary. Examples of relevant clinical signs include (Wright et al. 1985; NRC 2008, 2009):
 - Repeated pawing or rubbing of the eye
 - Excessive blinking
 - Excessive tearing
 - Study termination based on humane endpoints should ensure that reversal is not expected and that no further useful information can be obtained from the study.
 - A written record of all observations should be kept for determinations on the progression or resolution of ocular lesions.
 - A slit-lamp biomicroscope should be used when considered appropriate (e.g., assessing depth of injury when corneal ulceration is present).
 - Digital photographs should be taken to document ocular lesions and help assess their severity, progression, and resolution.

ICCVAM Recommendations for Future Studies: Use of Humane Endpoints in Ocular Safety Testing

- Fluorescein Staining**
 - Additional data should be collected on the use of fluorescein staining to monitor wound healing.
 - Data should be evaluated to identify criteria that may be useful as humane endpoints to terminate studies.
 - Guidelines should be developed for (1) the frequency of fluorescein staining that can be conducted without significant impact on wound healing that would affect classification categories and (2) the usefulness of the area and intensity, and progression/regression of fluorescein staining for identifying specific hazard classification categories.
 - Studies should be conducted to identify earlier, more predictive endpoints such as those quantifying area and intensity of fluorescein staining.
- Other Recommendations**
 - Data should be collected during current testing to support the identification of potential earlier endpoints and to facilitate development of a database that can be used to identify useful earlier endpoints
 - Data should be collected to further evaluate pannus as a potential earlier humane endpoint. (ICCVAM did not consider the BRD data sufficient to determine the adequacy of pannus as a recommended humane endpoint for terminating a test.)
 - Improved guidance on clinical signs of pain and distress in rabbits should be developed. Pain assessment training is also an important part of an effective pain management program and should be routinely provided to relevant personnel.
 - Users should provide NICEATM with detailed data and observations collected from ocular safety studies that can be used to create a database to (1) further characterize the usefulness and limitations of proposed humane endpoints, and (2) identify potential new endpoints. Such data submissions will contribute to efforts to avoid or minimize pain and distress in ocular safety assessments.

Independent Scientific Peer Review Panel Meeting

- A public meeting of an international independent scientific peer review panel (“Panel”) organized by ICCVAM and NICEATM was held at the U.S. Consumer Product Safety Commission Headquarters in Bethesda, MD, on May 19-21, 2009.

Charge to the Peer Review Panel

- Review the Draft Background Review Document: *Use of Topical Anesthetics, Systemic Analgesics, and Earlier Humane Endpoints to Minimize Pain and Distress in Ocular Toxicity Testing* for errors and omissions
- Evaluate the extent to which the draft BRD addressed established validation and acceptance criteria
- Evaluate the extent to which the draft BRD supported ICCVAM’s draft test method recommendations

Peer Review Panel Conclusions and Recommendations

The Panel recommended that:

- An alternative preemptive pain management plan be applied to all *in vivo* rabbit eye irritation tests intended for regulatory safety testing, unless there is a requirement for monitoring the pain response (e.g., pharmaceutical tolerability testing).
 - The only differences in the ICCVAM-recommended plan and the Panel’s protocol are that the ICCVAM-recommended plan (1) allows for either tetracaine or proparacaine as a topical anesthetic and (2) recommends only one dose of topical anesthetic unless there is reason to believe that this will be insufficient to relieve pain and distress, at which time additional pre-test substance administration applications can be considered.
- Results from previous CPSC studies provide the basis for these differences.
- Current and proposed humane endpoints should be used routinely as humane endpoints. The Panel considered them predictive enough of irreversible or severe effects (i.e., EPA Category 1, GHS Category 1, EU R41) that a study should be terminated as soon as they are observed.
- Test animals be examined at least daily and the presence or absence of these lesions recorded.
- For the first 3 days, test animals should be examined at least twice daily, or more often if necessary. The Panel emphasized the need for a slit lamp examination to ensure accurate measurement of most of the ocular endpoints.
- The Panel did not consider some of the endpoints adequate for early study termination when taken individually (e.g., pannus, area of fluorescein staining, lack of re-epithelialization). They can, however, be considered in combination.
- The Panel emphasized that decisions to terminate a study should be based on multiple endpoints when possible.
- Only very severe endpoints (e.g., corneal perforation) would be adequate independently to terminate a study.

The ICCVAM recommendations incorporated these Panel recommendations.



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